

Risk Register - 2021/22

Law and Democracy

				Before Mitigating Risk			14/06/22		After Mitigating Risk			Target Risk			Date Updated (dd/mm/yy)	Update By:	Days since last review		
Risk No.	Major Heading	Risk	Brief Description	Likelihood	Impact	Score	Mitigating action	Update June 2022	Risk Owner	Action Completed By:	Likelihood	Impact	Score	Likelihood	Impact	Score			
LD1	Extended loss of IT	Unable to gain access to records/provide statutory services	An event that has a material impact on the ability of the section to function effectively	3	4	12	New IT infrastructure installed which includes Business Continuity Plan.	BCP plan will be reviewed when new resilience officer joins end June servers are all on site, with backup in cloud	SLT		2	4	8	2	4	8	08/06/22	TH	-6
LD2	Loss of Key Member of Staff	Unable to provide statutory services	A loss of a key member of staff that has a material impact on the ability of the section to perform its statutory duties	3	2	6	Monitor current staff work/life balance and provide adequate training	No changes from last review	DG	DG	3	2	6	2	2	4	13/06/22	DG	-1
LD3	Health and Safety incident ie Legionella	Serious accident results in death or injury	An event that has a material impact on the reputation of the Council as a result of a failure to adequately control H and S risks on council assets resulting in death or serious injury	2	5	10	Inspection of all council assets by appropriately trained staff and maintenance of inspection records. Regular audit of inspection regimes and work of H and S officer	H&S Resilience Officer commences employment with the Council June 2022.	DG	N/A	1	3	3	1	3	3	13/06/22	DG	-1
LD4	Changes in Legislation	Unbudgeted costs	New legislation alters service delivery requirements at a cost to the council	5	5	25	Monitoring of new legislation and advising on adaptations to service delivery. Regular monitoring of proposed legislative changes via subscription to on-line services	No changes from last review	DG	N/A	2	3	6	2	3	6	13/06/22	DG	-1
LD5	Litigation	Unbudgeted costs	Litigation arising out of a decision by the council that results in unbudgeted costs and reputational damage	3	5	15	Ensuring appropriate legal advice is provided to all committees, report authors and decision making bodies	No changes from last review	DG	N/A	1	5	5	1	5	5	13/06/22	DG	-1
LD6	Failure to follow agreed policies or procedures	Legal challenge	An event that arises from a failure to follow agreed policies and procedures resulting in a financial or legal consequence	3	3	9	Regular Monitoring and updating of policies & procedures and staff training. Rolling programme of policy reviews and monitoring of staff.	Now have a Corporate Policy Officer to ensure that they are reviewed and updated as necessary. Unchanged since last review.	DG	N/A	2	2	4	2	2	4	13/06/22	DG	-1
LD7	Failure to enforce, improper enforcement or sanction applied to a member of the public	Legal challenge and Reputational damage	Litigation arising out of a decision by the council that results in unbudgeted costs and reputational damage	4	4	16	Legal review of all decisions to impose sanction/initiate legal proceedings.	No legal challenge impacting on reputation has been raised since last review.	DG	N/A	2	3	6	2	2	4	13/06/22	DG	-1